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PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Yasuyuki KUSUMOTO et al.

Serial Number: 10/056,209

Examiner: Unknown

Filed: January 28, 2002

Group Art Unit: 1745

For: LITHIUM SECONDARY BATTERY AND POSITIVE ELECTRODE FOR LITHIUM  
SECONDARY BATTERY

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97(b)

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

April 24, 2002

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each document is attached.

No fee or certification is required in connection with this Information Disclosure Statement, since it is being submitted prior to the last of (1) issuance of a first official action on the merits and (2) expiration of the three month period following filing of the above-captioned application.

Documents DA and DB are in the English language. Therefore,

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no concise explanations of the relevance of documents DA and DB are believed to be required under 37 C.F.R. §1.98.

The Commissioner is authorized to charge our Deposit Account No. 111833 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

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Attachments: PTO-1449  
2 Documents



INFORMATION DISCLOSURE STATEMENT  PTO-1449	Atty. Docket No. MAM-010	Serial No. 10/056,209
	Applicant: Yasuyuki KUSUMOTO et al.	
	Filing Date: January 28, 2002	Group: 1745

U.S. PATENT DOCUMENTS

Examiner's Initial		Document No.	Date	Name	Class	Sub Class	Filing Date If appropriate
	UA						
	UB						
	UC						
	UD						
	UE						
	UF						
	UG						

FOREIGN PATENT DOCUMENTS

Examiner's Initial		Document No.	Date	Country	Translation Yes/No/Partial
	FA				
	FB				
	FC				
	FD				
	FE				
	FF				
	FG				

OTHER DOCUMENTS

Examiner's Initial		
	DA	Sarradin, J. et al.; "Study of Fe <sub>2</sub> O <sub>3</sub> -based thin film electrodes for lithium-ion batteries"; <i>Solid State Ionics</i> ; vol. 112, pp. 35-40; 1998
	DB	Ribes, M. et al.; "Thin films on amorphous electrode materials for Li Microbatteries"; <i>Proceedings of the Symposium on Thin Film Solid Ionic Devices and Materials, Electrochemical Society Proceedings</i> , Vol. 95-22, pp. 164-172; 1996
	DC	

Examiner:	Date Considered:
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KTK/emd